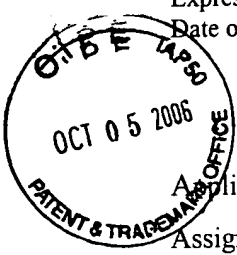


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Attorney Docket No. 29056-501-059



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Number : 10/797,143  
 Assignee : Risk Management Solutions, Inc.  
 Applicant(s) : Han Chen, *et al.*  
 Filed : March 11, 2004  
 TC/A.U. : 3639  
 Examiner : Jon M. Bass  
 Customer No. : 35437  
 For : **SYSTEMS AND METHODS FOR DETERMINING  
CONCENTRATIONS OF EXPOSURE**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL TRANSMITTAL**

Date of Deposit: **October 5, 2006**

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1. Supplemental Information Disclosure Statement (2 pgs.);
2. Modified Form 1449/PTO (1 pg.); in duplicate; and
3. a Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (212) 935-3000, New York, New York. The Director is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. **50-0311**, Reference No. **29056-501-059**, Customer No. **35437**.

Dated: October 5, 2006

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Express Mail Label No.: EV 829089598US

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Application No. : 10/797,143  
Assignee : Risk Management Solutions, Inc.  
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Filed : March 11, 2004  
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CONCENTRATIONS OF EXPOSURE

**MAIL STOP AMENDMENT**

Commissioner for Patents  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby makes of record the documents listed on the enclosed modified Form 1449/PTO (submitted in duplicate) in the above-identified application. The order of presentation of the references should not be construed as an indication of the importance of the references.

This Supplemental Information Disclosure Statement is being filed more than three months after the U.S. filing date and before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is required. 37 C.F.R. §1.97.

Under 37 CFR § 1.98(a)(2)(i), copies of the cited U.S. patents and U.S. patent application publications are not enclosed. It is respectfully requested that the Examiner consider the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the

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**Date of Deposit: October 5, 2006**

**Attorney Docket No. 29056-501-059**

cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. **50-0311**, Reference No. **29056-501-059**, Customer No. **35437**.

Respectfully submitted,



Brian P. Hopkins, Reg. No. 42,669  
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OMB 0651-0031

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Modified Form 1449/PTO

**SUPPLEMENTAL INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	10/797,143
Filing Date	March 11, 2004
First Named Inventor	Han Chen
Group Art Unit	3639
Examiner Name	Jon M. Bass
Attorney Docket Number	29056-501-059

**U.S. PATENT DOCUMENTS**

Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
	A11	5,839,113	11/17/1998	Federau et al.	705	4	10-30-1996
	A12	2002/0080138	06/27/2002	Tarr	345	441	12-21-2000
	A13	2002/0173980	11/21/2002	Daggett et al.	705	1	05-18-2001

**FOREIGN PATENT DOCUMENTS**

Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No
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**OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS**

Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.